



BALLEW
WEALTH MANAGEMENT

100 Vision Drive, Suite 325 Jackson, MS 39211
(601)368-3500

Ballew Advisors, Inc. Form CRS

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Ballew Advisors, Inc. is registered with the Securities and Exchange Commission as an Investment Adviser. Ballew Advisors is owned by Security Ballew, Inc., which is the parent company. Brokerage and investment advisory services and fees differ and that it is important for the retail investor to understand the differences.

Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides information tailored to educate retail investors about financial professionals.

What investment services and advice can you provide me with?

Ballew Advisors serves as a fiduciary offering the following principal investment advisory services to retail investors via discretionary investment accounts.

While our general practice is to communicate investment purchases and sales beforehand, if you invest on a discretionary basis, our firm may buy and sell investments in your accounts without requiring your pre-approval on an ongoing basis until you notify us in writing to switch.

Financial planning/Social security consulting. We offer financial planning and social security consulting. The fee is determined based on the degree of responsibility involved and the skill required in preparing and rendering the advice. After the initial consultation for planning services, a fee is estimated by Ballew Advisors and quoted to the client for the client's agreement. An amount agreed upon between the client and the Ballew Advisors representative is due upon acceptance of planning services. We also provide Investment supervisory services and retirement plan advisory services. We monitor your account quarterly as a part of our standard service.

Limited Investment Offerings: We do not primarily recommend only one type of security to our clients. Instead, we recommend portfolios designed to be suitable for each client relative to each client's specific circumstances and needs. However, we are limited in investment selection in that

we can only invest in securities that are available on our custodian platform. When providing you with services, we do not recommend or offer any proprietary products.

Account & Fee Minimums: A minimum of \$100,000 in assets under management is required for these services. With respect to certain clients, such as retirement plans with annual contributions, and clients having separate related accounts, we will accept accounts of less than \$100,000 on a discretionary basis. To reach this account minimum, you can aggregate all household accounts. We have the ability to waive this minimum in anticipation of expected future contributions to the account or the relationship between the client and investment advisor representative.

For additional information including minimum investment amounts, please see <https://www.adviserinfo.sec.gov/IAPD/default.aspx> for our Form ADV, 2A brochure (Items 4 and 7 of Part 2A or Items 4.A. and 5 of Part 2A Appendix 1).

Conversation starters. Ask your financial professional:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

What fees will I pay?

Our investment advisory fees are disclosed in our Form ADV Part 1A, Item 5.E. and more fully described in our Form ADV Part 2A, Items 5.A., B., C., and D. Some fees may create a conflict of interest described below and in more detail in our Firm's Part 2A.

Ballew Advisors charges an annual fee for their Investment Supervisory Services and Retirement Plan Advisory Services. The fee is a percentage of the market value of all assets in the client account on a quarterly basis. The fee is payable quarterly in advance.

Financial Planning and/or Retirement Planning fees are fixed fees and will be billed directly at the time the services are provided.

If applicable to your account, you may be charged directly for other fees in addition to advisory fees, including (1) account maintenance fees (2) cash management fees, such as cash sweep, checking and wire fees; and (3) investment-specific fees such as those for administration of alternatives investments or for foreign securities. You should understand that these fees are not charged by us if your investment is in an account that is held directly with the fund sponsor. Generally, advisory fees do not include any brokerage commissions and other transaction costs, redemption fees, wire transfer fees, overnight check fees, account closing fees, or any other

charges imposed by the administrator, custodian, or other service provider.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For more detailed information about our advisory fees and costs, please review our Form ADV, Part 2A brochure (specifically Items 5.A., B., C., and D.) which can be found at <https://www.adviserinfo.sec.gov/IAPD/default.aspx>.

Conversation starters. Ask your financial professional:
– Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your financial professional, we must act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means.

- Advisors may have similar investments in their individual accounts as their clients’.

Conversation starters. Ask your financial professional:
– How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money? All our professionals are employees of Security Ballew or one of our affiliated companies.

- Our advisory firm compensates financial professionals via payroll based on a percentage of advisory fees earned on clients’ accounts.
- There are no commissions or incentives to recommend proprietary products.

Do you or your financial professionals have legal or disciplinary history?

No, our advisory firm nor our financial professionals have any disciplinary history. Visit Investor.gov/CRS for a free and simple search tool to research our firm and our financial professionals.

Conversation Starters. Ask your financial professional:

– As a financial professional, do you have any disciplinary history? For what type of conduct?

For additional information about your investment advisory services, or to request a copy of this Form CRS, please call us at 601-368-3500 or visit contactus@ballewwealth.com for our ADV or this Form CRS.

Conversation starters. Ask your financial professional:
– Who is my primary contact person? Is he or she a representative of an investment adviser? Who can I talk to if I have concerns about how this person is treating me?

Changes to the Relationship Summary
We recently closed our broker dealer and made changes to that effect in this document.